

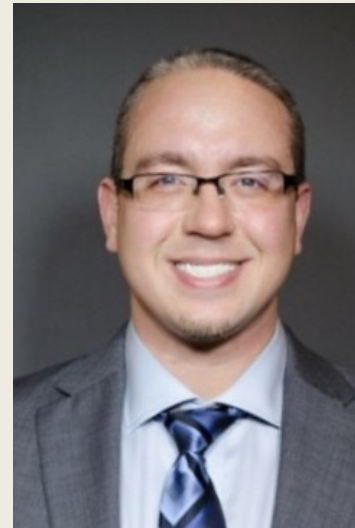
*Protecting Your Institution in the
Event of a Major Data Breach*

Presenters



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What You Will Learn

- Proper risk assessment and management techniques
- Third party vendor management
- Incident management best practices

Questions to Consider – Risk Management

- Has a Risk Assessment been completed?
- Have all Information Security actions been conducted?
- Does every risk have a control?
- Have the controls been tested?
- Any gaps identified?
- Does it indicate vulnerabilities?

Questions to Consider – Third Party Risk

- Have you read your contract for Breach and Legal recourse?
- Have Service Level Agreements been breached?
- Do you have Policies and Procedures for breach?
- Do you have evidence of your review?
- Have you received a SOC report? Was it reviewed? Where is it?
- Who is addressing consumer complaints?
- Are processes in place to document the complaints as per CFPB guidelines?
- What due diligence is conducted to check the vendors' oversight?
- Have gaps been noted and controls put in place as a result of the on-site?

Questions to Consider – Incident Management

- Has an official incident been documented?
- Where is it stored?
- Does it identify the criticality of the incident? Who owns it? Who is responsible? What is required to fix it?
- Have gaps been noted and controls put in place as a result of the incident?
- Who is doing the customer communication?

GRC Spotlight Demo > > >

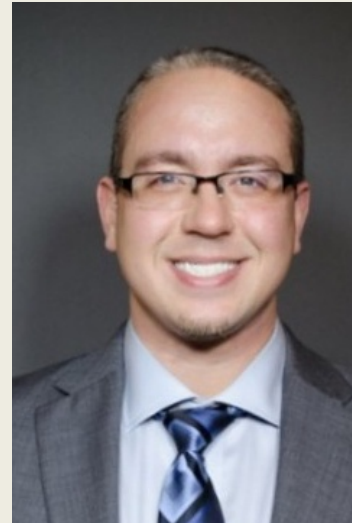
Q&A Wrap Up

Type your question in the chat panel



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Presentation materials and video replay
will be provided within one week.

Thank You

